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J1040 U.S. PTO
09/880634

UTILITY PATENT APPLICATION TRANSMITTAL
(Only for new nonprovisional applications under 37 CFR 1.53(b))

Pocket No. : 45145/DBP/Y35
 Inventor(s) : Wan-Uk Choi; Kyou-Yoon Sheem; Sang-Jin Kim; Jae-Yul Ryu; and
 Sang-Young Yoon
 Title : NEGATIVE ACTIVE MATERIAL FOR RECHARGEABLE LITHIUM
 BATTERY AND METHOD OF PREPARING THE SAME
 Express Mail Label No. : EL791137169US

ADDRESS TO: Assistant Commissioner for Patents
 Box Patent Application
 Washington, D.C. 20231

Date: June 11, 2001

1. ☒ **FEE TRANSMITTAL FORM** (Submit an original, and a duplicate for fee processing).

2. **IF A CONTINUING APPLICATION**

_____ This application is a _____ of patent application No. .

Prior application information: Examiner ; Group Art Unit:

_____ This application claims priority pursuant to 35 U.S.C. §119(e) and 37 CFR §1.78(a)(4),
to provisional Application No. .

3. **APPLICATION COMPRISED OF**

Specification

13 Specification, claims and Abstract (total pages)

Drawings

0 Sheets of formal drawing(s) (NO FIGS)

Declaration and Power of Attorney

☒ Newly executed
 _____ Unexecuted declaration
 _____ Copy from a prior application (37 CFR 1.63(d))(for continuation and divisional)

4. _____ **Microfiche Computer Program** (Appendix)

5. _____ **Nucleotide and/or Amino Acid Sequence Submission** (if applicable, all necessary)

_____ Computer Readable Copy
 _____ Paper Copy (identical to computer copy)
 _____ Statement verifying identity of above copies

6. **APPLICANT(S) STATUS UNDER 37 CFR § 1.27**

_____ Applicant(s) and any others associated with it/them under § 1.27(a) are a SMALL
ENTITY

7. **ALSO ENCLOSED ARE**

UTILITY PATENT APPLICATION TRANSMITTAL
(Only for new nonprovisional applications under 37 CFR 1.53(b))

Docket No.: 45145/DBP/Y35

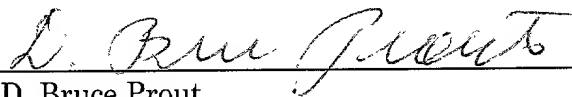
- ☐ Preliminary Amendment
☐ A Petition for Extension of Time for the parent application and the required fee are enclosed as separate papers
☒ An Assignment of the invention with the Recordation Cover Sheet and the recordation fee are enclosed as separate papers
☐ This application is owned by pursuant to an Assignment recorded at Reel , Frame
☐ Information Disclosure Statement (IDS)/PTO-1449
☐ Copies of IDS Citations
☒ Certified copy of Priority Document(s) (*if foreign priority is claimed*)
☐ English Translation Document (*if applicable*)
☒ Return Receipt Postcard (MPEP 503) (should be specifically itemized).
☐ Other

8. CORRESPONDENCE ADDRESS

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Customer Number: 23363

Respectfully submitted,

CHRISTIE, PARKER & HALE, LLP

By 
D. Bruce Prout
Reg. No. 20,958
626/795-9900

DBP/aam

**FEE TRANSMITTAL
UTILITY PATENT APPLICATION**

DATE: June 11, 2001

Docket No. : 45145/DBP/Y35
Inventor(s) : Wan-Uk Choi; Kyou-Yoon Sheem; Sang-Jin Kim; Jae-Yul Ryu; and
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Title : NEGATIVE ACTIVE MATERIAL FOR RECHARGEABLE LITHIUM
BATTERY AND METHOD OF PREPARING THE SAME

FEE DETERMINATION

| CLAIMS AS FILED | | | | | |
|----------------------------------|-----------------|-----------------|----------------------|----------------------|----------|
| | NUMBER FILED | NUMBER EXTRA | SMALL ENTITY RATE | LARGE ENTITY RATE | FEE |
| TOTAL CLAIMS | 11 - 20 | 0 | 0 x \$9.00 | 0 x \$18.00 | \$0.00 |
| INDEPENDENT CLAIMS | 2 - 3 | 0 | 0 x \$40.00 | 0 x \$80.00 | \$0.00 |
| MULTIPLE-DEPENDENT CLAIMS FEE | | | \$135.00 | \$270.00 | \$0.00 |
| BASIC FEE | | | \$355.00 | \$710.00 | \$710.00 |
| TOTAL FILING FEE | | | | | \$710.00 |
| List Independent Claims: 1 and 7 | | | | | |

METHOD OF PAYMENT

☒ Payment Enclosed: Check for \$710.00

☒ The Commissioner is hereby authorized to charge any fees under 37 CFR 1.16 and 1.17 which may be required during the **entire pendency** of the application to Deposit Account No. 03-1728. Please show our docket number with any charge or credit to our Deposit Account. **A duplicate copy of this sheet is enclosed.**

Respectfully submitted,

CHRISTIE, PARKER & HALE, LLP

By D. Bruce Prout
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Reg. No. 20,958
626/795-9900